

Miscellaneous Short Proposal Form



Information

1. Please provide the following details (including all trading names and subsidiaries):

Name

Address including postcode

Establishment date

Website

2. Details of all principals, partners or directors:

Name	Age	Qualifications

3. Please complete the following

	UK	USA / Canada	Other	Total
Estimated total gross fees	£	£	£	£
Total gross fees in current year	£	£	£	£
Total gross fees in last financial year	£	£	£	£
Largest fee from any one client	£	£	£	£

4. Please give a full description of activities, with percentage breakdown (estimated if no historical data):

5. Please give details of your three largest contracts in the last five financial years (give details of current projects if new start-up):

Start date / end date	Name of client / business client	Nature of contract	Total contract value	Income to you

6. When entering into contracts please confirm:

- | | | |
|--|------------------------------|-----------------------------|
| a. You carry out work only under your standard contract, signed by every client? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| b. All contracts are vetted by a legally qualified person before being agreed? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

If **NO** to any of the above, please explain why not on a separate sheet

7. When entering into contracts do you always:

- | | | |
|--|------------------------------|-----------------------------|
| a. Exclude liability for consequential, special or indirect damages, loss of profits and liquidated damages? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| b. Cap your overall liability at a reasonable level? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| c. Warrant a performance standard no greater than reasonable care and skill? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| d. Work to a written specification with your clients outlining the scope of each job? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| e. Ensure that changes to the scope of work are reflected in a written variation of the contract? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

If **NO** to any of the above, please explain why not on a separate sheet

- | | | |
|--|------------------------------|-----------------------------|
| 8. Do you currently purchase professional indemnity insurance | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|--|------------------------------|-----------------------------|
-

9. What limit/s of indemnity do you require? £

10. In respect of any of the risks to which this proposal relates:

- | | | |
|---|------------------------------|-----------------------------|
| i. Has any claim been made (whether successful or not) against you, any predecessor, any past or present principals, directors, partners? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| ii. Has any loss been suffered by you or any predecessor as a result of the dishonesty or malice of any past or present principals, directors, partners, employees or self-employed person? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| iii. Are you, after full enquiry aware of any circumstance which is likely to give rise to a claim or loss against you, any predecessor or any past or present principal, director, partners? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| iv. Are you, after full enquiry aware of any shortcoming in your work for a client who is likely to give rise to a claim against you? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

If **YES** to any of the above, please provide details on a separate sheet.

Please read this paragraph carefully before signing the declaration

It is essential that every Proposal, when seeking a quotation to take out or renew any insurance, discloses to the prospective Insurers all material facts and information (including all material circumstances) which might influence the judgement of an Insurer in deciding whether to accept the risk and on what terms. The obligation to provide this information continues up until the time that there is a completed contract of insurance. Failure to do so entitles the Insurers, if they so wish, to avoid the contract of insurance from inception and so enables them to repudiate liability thereunder. If you have any doubt as to what constitutes a material fact or circumstance please do not hesitate to ask for advice.

Declaration

On behalf of the Proposer/s, I/we declare that, after full enquiry, the contents of this proposal are true and that I/we have not misstated, omitted or suppressed any material fact or information. If there is any material alteration to the facts and information which I/we have provided or any new material matter arises before the completion of the contract of insurance, I/we undertake to inform the Insurer.

Signature of Principal / Director / Partner:

Date:

ADDITIONAL INFORMATION

Data Protection Notice

Tokio Marine HCC respects your right to privacy. In our Privacy Notice (available at <https://www.tmhcc.com/en/legal/privacy-policy>) we explain who we are, how we collect, share and use personal information about you, and how you can exercise your privacy rights. If you have any questions or concerns about our use of your personal information, then please contact DPO@tmhcc.com.

We may collect your personal information such as name, email address, postal address, telephone number, gender and date of birth. We need the personal information to enter into and perform a contract with you. We retain personal information we collect from you where we have an ongoing legitimate business need to do so.

We may disclose your personal information to:

- our group companies;
- third party services providers and partners who provide data processing services to us or who otherwise process personal information for purposes that are described in our Privacy Notice or notified to you when we collect your personal information;
- any competent law enforcement body, regulatory, government agency, court or other third party where we believe disclosure is necessary (i) as a matter of applicable law or regulation, (ii) to exercise, establish or defend our legal rights, or (iii) to protect your interests or those of any other person;
- a potential buyer (and its agents and advisers) in connection with any proposed purchase, merger or acquisition of any part of our business, provided that we inform the buyer it must use your personal information only for the purposes disclosed in our Privacy Notice; or
- any other person with your consent to the disclosure.

Your personal information may be transferred to, and processed in, countries other than the country in which you are resident. These countries may have data protection laws that are different to the laws of your country. We transfer data within the Tokio Marine group of companies by virtue of our Intra Group Data Transfer Agreement, which includes the EU Standard Contractual Clauses.

We use appropriate technical and organisational measures to protect the personal information that we collect and process about you. The measures we use are designed to provide a level of security appropriate to the risk of processing your personal information.

You are entitled to know what data is held on you and to make what is referred to as a **Data Subject Access Request ('DSAR')**. You are also entitled to request that your data be **corrected** in order that we hold accurate records. In certain circumstances, you have other data protection rights such as that of **requesting deletion, objecting to processing, restricting processing** and in some cases **requesting portability**. Further information on your rights is included in our Privacy Notice.

You can **opt-out of marketing communications** we send you at any time. You can exercise this right by clicking on the "unsubscribe" or "opt-out" link in the marketing e-mails we send you. Similarly, if we have collected and processed your personal information with your consent, then you can **withdraw your consent** at any time. Withdrawing your consent will not affect the lawfulness of any processing we conducted prior to your withdrawal, nor will it affect processing of your personal information conducted in reliance on lawful processing grounds other than consent. You have the **right to complain to a data protection authority** about our collection and use of your personal information.

Contact Us

Tel +44 (0)20 7702 4700

mail@tmhcc.com

tmhcc.com

Tokio Marine HCC is a trading name of HCC International Insurance Company plc, which is a member of the Tokio Marine HCC Group of Companies. HCC International Insurance Company plc is authorised by the Prudential Regulation Authority (PRA) and regulated by the UK Financial Conduct Authority (FCA) and Prudential Regulation Authority. Registered in England and Wales No. 01575839 with registered office at 1 Aldgate, London EC3N 1RE | tmhcc.com